APR 1 2 2001 5 THE UNITED STATES PATENT AND TRADEMARK OFFICE

GP/2165

3753/6

In re Application of

David R. Gottstein

RECEIVED

Application. No.

09/507,360

Examiner: To be Assigned

APR 16 2001

Filed

February 18, 2000

G 4 4 TT 11 0165

Group 2100

Title

Group Art Unit: 2165

COMPUTERIZED SYSTEM AND METHOD FOR

OPTIMIZING AFTER-TAX PROCEEDS INVOLVING

OPTIONS

Assistant Commissioner for Patents Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

The applicants respectfully submit herewith an Information Disclosure Statement, an Information Disclosure Citation List, and a copy of each document listed therein, pursuant to 37 C.F.R. §§ 1.97 and 1.98. The Examiner is requested to consider the enclosed documents, make them of record, and indicate his or her consideration of the documents by initialing the enclosed Citation List adjacent the citation of each document. Please return a copy of the initialed Citation form to the applicant's undersigned Attorney.

Respectfully submitted,

Date: April 9, 2001

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I hereby certify that this paper is being deposited this date with the

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April 9 , 200

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